



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Kevin E. Mahaffy et al.

SERIAL NO.: 10/061,731

GROUP ART UNIT: 2167

FILED: February 1, 2002

EXAMINER:

FOR: Vending Machine adapted to
vend age-restricted items

ATT'Y DOCKET: AAI-003

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited on
this day with the United States Postal Service as first class
mail in an envelope addressed to: Commissioner of Patents and
Trademarks, Washington, D.C. 20231.

David S. Jacobson
Reg. No. 39,235

Date

Sir:

SUBMITTAL OF DOCUMENTS PURSUANT TO DUTY OF DISCLOSURE

Pursuant to applicant's duty of disclosure under 37 CFR
Section 1.56, enclosed is a completed form PTO-1449 as well as
copies of the cited documents which relate to the above-referenced
patent application. Since this document submittal is being
presented prior to the first examination on the merits, no fee is
due herewith.

The listed documents are brought to the Examiner's attention
because they are known to the applicant and/or the applicant's
attorney and may be considered by the Examiner to be material to
his/her examination. This listing should not be construed as
representation that a search has been made or that no better art
exists. No inference should be made that the documents are in
fact material merely because they are referenced herein.
Moreover, no representation is made that the brief descriptions of
the references herein necessarily describe the most material
aspects of the references. Further, by this listing, the
applicant is not making any admission regarding the relative dates
of the invention and listed disclosures.

Respectfully submitted,

David S. Jacobson
Reg. #39,235
Attorney for Applicant(s)

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AAI-003

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US PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Name	Class	Sub-class	Filing date if approp.
	A	2,099,115	11/16/37	Ives			
	B	3,567,848	3/2/71	Thies	178	6	
	C	3,662,343	5/9/72	Goldstein	340	140	
	D	3,705,384	12/5/72	Wahlberg	340	149	
	E	3,711,833	1/16/73	Starkey	340	149A	
	F	3,718,906	2/27/73	Lightner	340	147R	
	G	3,727,186	4/10/73	Stephenson, Jr	340	149A	
	H	3,770,941	11/6/73	Gechele et al	235	61.7R	
	I	3,914,579	10/21/75	Shigemori et al	235	61.7	
	J	3,943,335	3/9/76	Kinker et al	235	61.7	
	K	3,949,364	4/6/76	Clark et al	340	147A	

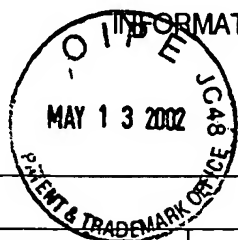
FOREIGN PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Country	Class	Sub-class	Translation	
							Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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US PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Name	Class	Subclass	Filing date if approp.
	A	4,173,024	10/30/79	Miller	358	115	
	B	4,205,780	6/3/80	Burns et al	235	454	
	C	4,245,902	1/20/81	Cataldo	354	76	
	D	4,247,759	1/27/81	Yuris et al	235	381	
	E	4,258,387	3/24/81	Lemelson et al	358	85	
	F	4,359,631	11/16/82	Lockwood et al	235	381	
	G	4,360,827	11/23/82	Braun	358	85	
	H	4,438,326	3/20/84	Uchida	235	379	
	I	4,398,257	8/9/83	Paganini et al	364	550	
	J	4,400,724	8/23/83	Fields	358	85	
	K	4,400,725	8/23/83	Tanigaki	358	85	
	L	4,602,332	7/22/86	Hirose et al	364	408	
	M	4,617,457	10/14/86	Granzow et al	235	379	
	N	5,963,452	10/5/99	Etoh et al.	364	479.06	
	O	4,845,636	7/4/89	Walker	364	479	
	P	5,091,713	2/25/92	Horne et al	340	541	
	Q	4,853,684	8/1/89	Hoppstadter	340	825.35	
	R	6,078,848	6/20/00	Bernstein et al	700	237	
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